



Receipt / #

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masakazu FUNAHASHI et al.

Serial No.: 09/943,578

Filed: August 31, 2001

For: NOVEL STYRYL COMPOUND AND ORGANIC ELECTROLUMINESCENCE DEVICE

SUBMISSION OF ORIGINAL EXECUTED APPLICATION

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP. ACCT. 16-0331  
PARKHURST & WENDEL

Sir:

The above identified application was duly filed on August 31, 2001, in the Japanese language. Accordingly, submitted herewith is a Verified English-language Translation of the application. Also, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$1040.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.17(i), \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for recordation of the Assignment document, and the remainder to cover

0943578-1000 RECEIVED

0963627-1101

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

**PARKHURST & WENDEL, L.L.P.**

*Roger W. Parkhurst*  
 Roger W. Parkhurst  
 Registration No. 25,177

Attorney Docket No. OHTN:004

(rev. 11/00)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,578	08/31/2001	Masakazu Funahashi	OHTN:004

CONFIRMATION NO. 9438

## FORMALITIES LETTER



\*OC000000006849530\*

PARKHURST & WENDEL, L.L.P.  
SMAS 210  
1421 Prince Street  
Alexandria, VA 22314-2805

Date Mailed: 10/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/29/2001 SDIRETA1 00000003 09943578

FILED UNDER 37 CFR 1.53(b)

01	101	740.00	OP
02	105	130.00	OP
03	139	130.00	OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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In re application of

Masakazu FUNAHASHI et al.

Serial No.: 09/943,578

Filed: August 31, 2001

For: NOVEL STYRYL COMPOUND AND ORGANIC ELECTROLUMINESCENCE DEVICE

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service  
Washington, D. C. 20231

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MAY 20 2002

TC 1700

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Date: November 28, 2001

Roger W. Parkhurst  
Registration No. 25,177

Attorney Docket No. OHTN:004  
PARKHURST & WENDEL, L.L.P.  
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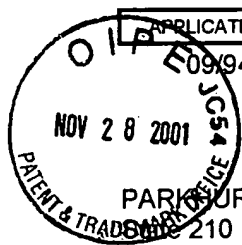
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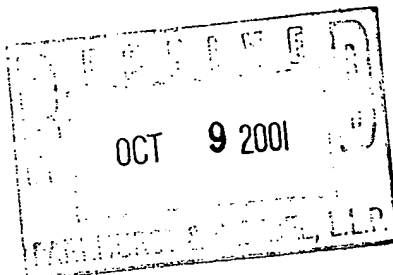
## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/943,578	08/31/2001		0.00	OHTN:004	5	+10	+2



PARK BURST & WENDEL, L.L.P.  
210  
1421 Prince Street  
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CONFIRMATION NO. 9438

## FILING RECEIPT



\*OC000000006849529\*

Date Mailed: 10/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Masakazu ~~Funahashi~~, Sodegaura-shi, JAPAN; FUNAHASHI  
Hiromasa ~~Arai~~, Sodegaura-shi, JAPAN; ARAI  
Chishio ~~Hosokawa~~, Sodegaura-shi, JAPAN; HASOKAWA

## Domestic Priority data as claimed by applicant

Japanese Patent Appl. No. 2000-265544 filed on September 1, 2000  
Foreign Applications

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Novel styryl compound and organic electroluminescence device

Preliminary Class

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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